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## CERTIFICATE OF TRANSMISSION

**Date of Transmission: 06 October 2006**

I hereby certify that the following correspondence is being facsimile transmitted to the attention of the Director of the US Patent and Trademark Office on the above date via the following facsimile number: 571-273-8300.

Information Disclosure Statement (4 sheets)

Form PTO-1449 (2 sheets)

1 Non-Patent Literature documents (9 sheets)

Application Number 10/529,966  
Confirmation No.: 6278  
Filing Date: 31 March 2005  
Document Submission Date: 06 October 2006

Art Unit: 1762  
Examiner: Not Yet Assigned  
Inventor: Guillet, James

**Docket: 1047-025**

**Pages: 16**

06 Oct 2006

Date

Eden Brown

Name of Certifier



Signature of Certifier

**PATENT****Application # 10/529,966****Attorney Docket # 1047-025****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Guillet, James  
Application # : 10/529,966  
Confirmation # : 6278  
Filed : 31 March 2005  
Application Title : Polymers for Protecting Materials from Damage  
Art Unit # : 1762  
Latest Examiner : Not Yet Assigned

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**INFORMATION DISCLOSURE STATEMENT (IDS)**

Mail Stop Amendment  
Commissioner for Patents  
United States Patent and Trademark Office (USPTO)  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, 1.97, and 1.98, the attention of the USPTO is hereby directed to the attached listing of documents and/or the attached Declaration. For each document listed under "Foreign Patent Documents" or "Non Patent Literature Documents", one copy of that document is attached.

It is respectfully requested that the listed documents:

- (1) be expressly considered during the prosecution of this application;
- (2) be made of record therein; and
- (3) appear among the "References Cited" on any patent to issue therefrom.

Although the information submitted herewith might be "material" to the USPTO's consideration of this application, this submission is not intended to be an admission that such

**PATENT****Application # 10/529,966****Attorney Docket # 1047-025**

information qualifies as "prior art" as to any claimed subject matter. The filing of this Information Disclosure Statement is not to be construed to mean that a search has been made.

The following marked paragraphs are applicable in this IDS:

**Timing of this IDS:**

- ☒ **A.** This IDS is being filed per 37 CFR 1.97(b)
- ☐ (1): within 3 months of the U.S. filing date other than a CPA under 1.53(d);
- ☐ (2): within 3 months of the date of entry of the national stage as set forth in 1.491 in an international application;
- ☒ (3): before the mailing date of a first Office Action on the merits; **OR**
- ☐ (4): before the mailing date of a first Office Action associated with a request for continued examination (RCE) under 1.114;

**AND**, thus, no certification or fee is required.

- ☐ **B.** This IDS is being filed per 37 CFR 1.97(c), **AFTER** the period specified in 37 CFR 1.97(b) [section A of this IDS], and **BEFORE**:
- (a) the mailing date of any Final Action under 1.113,
- (b) a Notice of Allowance under 1.311, **OR**
- (c) an action that otherwise closes prosecution,

**AND**, per 37 CFR 1.97(e), I hereby certify that:

- ☐ (1) each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this IDS ; **OR**
- ☐ (2) no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge

**PATENT****Application # 10/529,966****Attorney Docket # 1047-025**

after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than 3 months prior to the filing of this IDS;

**OR**, per 37 CFR 1.97(c), this IDS is accompanied by:

☐ (3) payment of the fee under 37 CFR 1.17(p) to ensure consideration of the disclosed information.

☐ **C.** This IDS is being filed per 37 CFR 1.97(d), **AFTER** the period specified in 37 CFR 1.97(c) [section **B** of this IDS], and **ON** or **BEFORE** the payment of the Issue Fee;

**AND**, per 37 CFR 1.97(e), I hereby certify that:

☐ (1) each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this IDS; **OR**

☐ (2) no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than 3 months prior to the filing of this IDS;

**AND**, per 37 CFR 1.97(d), this IDS is accompanied by:

☐ (3) payment of the fee under 37 CFR 1.17(p) to ensure consideration of the disclosed information.

**Legible Copies of Listed References:**

☒ **D.** In addition to the attached listing, accompanying this IDS is a legible copy of each listed:

- (1) foreign patent document;
- (2) pending unpublished U.S. application **OR** that portion of the application that caused it to be listed including any claims directed to that portion; **AND**
- (3) non-patent document or that portion thereof that caused it to be listed;

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PATENT

Application # 10/529,966

Attorney Docket # 1047-025

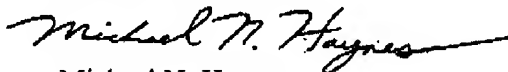
(4) other information or that portion that caused it to be listed.

**CONCLUSION**

The Office is hereby authorized to charge any additional fees or credit any overpayments under 37 C.F.R. 1.16 or 1.17 to Deposit Account No. 50-2504. The Examiner is invited to contact the undersigned at 434-972-9988 to discuss any matter regarding this application.

Respectfully submitted,

Michael Haynes PLC



Michael N. Haynes  
Registration No. 40,014

Date: 5 October 2006

1341 Huntersfield Close  
Keswick, VA 22947  
Telephone: 434-972-9988  
Facsimile: 815-550-8850

PTO/1448

Approved for use through 10/31/2002. OMB 0651-0031  
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

## **Complete If Known**

Application Number	10/529,966
Filing Date	03/31/2005
First Named Inventor	Guillet, James
Group Art Unit	1762
Examiner Name	Not Yet Assigned
Attorney Docket Number	1047-025

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**OCT 06 2006**

## **PUBLISHED U.S. PATENT DOCUMENTS**

Examiner Initials	Application or Patent Number	Name of Applicant or Patentee	Date of Publication
	2,579,451	Polson	18 December 1951
	2,812,317	Barrett	5 November 1957
	2,861,059	Mowry	18 November 1958
	2003/0054170 A1	Fujimoto	20 March 2003
	2003/0177868 A1	Guillet	25 September 2003
	2003/0232914 A1	Devonport	18 December 2003
	3,200,102	Kleiner	10 August 1965
	3,563,461	Cole	16 February 1971
	3,584,412	Palmer	15 June 1971
	3,615,972	Morehouse	26 October 1971
	3,709,842	Stoy	9 January 1973
	3,864,323	Stoy	4 February 1975
	3,897,382	Stoy	29 July 1975
	4,161,084	Amy	17 July 1979
	4,183,884	Wichterle	15 January 1980
	4,352,458	Masel	5 October 1982
	4,363,760	Cioca	14 December 1982
	4,419,288	Cioca	6 December 1983

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/1449

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

**Complete if Known**

Application Number	10/529,966
Filing Date	03/31/2005
First Named Inventor	Guillet, James
Group Art Unit	1782
Examiner Name	Not Yet Assigned
Attorney Docket Number	1047-025

**PUBLISHED U.S. PATENT DOCUMENTS**

Examiner Initials	Application or Patent Number	Name of Applicant or Patentee	Date of Publication
	4,963,656	Mitani	16 October 1990
	5,052,618	Carlson	1 October 1991
	5,185,024	Siemer	9 February 1993
	5,285,769	Wojcicki	15 February 1979
	5,653,054	Savignano	5 August 1997
	5,668,082	Miller	16 September 1997
	6,057,266	Colvin	2 May 2000
	6,180,562	Blum	30 January 2001

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	O'CALLAGHAN, Mixed Initiator Approach to the Surfactant-Free Semicontinuous Emulsion Polymerization of Large MMA/BA Particles, Journal of Applied Polymer Science, 23 May 1995, pages 2047-2055, Vol. 58, John Wiley & Sons, Inc.

Examiner Signature		Date Considered	
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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